

**Community Development Financial Institutions  
Application for Certification**

| <b>ORGANIZATION CONTACT AND BACKGROUND INFORMATION</b>   |  |                         |
|--|--|-------------------------|
| Name of Organization:  | Address (Street, City, State, Zip):  |                         |
| Contact Person:  | Title:   | Phone:                  |
|  |  | Fax:                    |
|  |  | Email:                  |
| Stated Mission of Organization:  |  |                         |
| Geographic Service Area:   |  |                         |
| Organization Background:   |  |                         |
| Legal Status (i.e. sole proprietorship, Partnership, corp, etc):   | Tax ID:  | Profit<br><br>Nonprofit |
| Is your organization a certified Community Development Financial Institution (CDFI) with the US Treasury? (If yes, please provide a copy of the Treasury Certification Letter.)<br><br>Yes      No | Is your organization a certified Community Development Entity (CDE) under the federal New Markets Tax Credit program? (If yes, please provide a copy of the Treasury Certification Letter.)<br><br>Yes      No |                         |

| <b>CALIFORNIA CDFI CRITERIA</b>  |     |    |
|--|-----|----|
| Criteria is based on California Revenue and Taxation Code, Section 12209(g)(2), 17053.57 (f)(2), 23657 (f) (2) |     |    |
| 1. Is your organization a private financial institution located in California?                                 | Yes | No |
| 2. Is your organization's primary mission community development?   | Yes | No |
| 3. Does your organization lend to urban, rural, or reservation based communities?                              | Yes | No |

| <b>DOCUMENTS CHECKLIST</b>                        |  |
|---|--|
| Cover Letter                                      | Audited Financial Statements, last 3 years             |
| Mission Statement                                 | Marketing Brochure                                     |
| Articles of Incorporation and bylaws              | California Statement by Domestic Nonprofit Corporation |
| Organizational Chart and Narrative                | IRS 501(c)(3) Determination Letter                     |
| List of Board of Directors and Personal Histories | Fundraising History (examples of executed notes)       |
| List of Key Staff and Personal Histories          | Department of Treasury CDFI Certification Letter       |
| Annual Report                                     | Department of Treasury CDE Certification Letter        |
| Other Documents as Requested                      |  |